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17538 U.S. PTO  
10/614062  
07/08/03

Date: July 8, 2003

Docket No.: 3885-0107P

## MS PATENT APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

As authorized by the inventor(s), transmitted herewith for filing is a patent application applied for on behalf of the inventor(s) according to the provisions of 37 C.F.R. § 1.41(c).

Inventor(s): YAMANAKA, Sadanori; TSUCHIDA, Yoshihiko;  
ONO, Yoshinobu; IYECHIKA, Yasushi

For: EPITAXIAL SUBSTRATE FOR COMPOUND SEMICONDUCTOR  
LIGHT-EMITTING DEVICE, METHOD FOR PRODUCING THE  
SAME AND LIGHT-EMITTING DEVICE

Enclosed are:

- ☒ A specification consisting of Twenty-Eight (28) pages
- ☒ Four (4) sheet(s) of formal drawings
- ☐ Applicant does not claim priority
- ☒ Applicant claims the right of priority under 35 U.S.C. § 119 based on Application No(s). 2002-198955 filed in JAPAN on July 8, 2002.
  - ☒ Certified copy(ies) is(are) attached hereto.
  - ☐ Certified copy(ies) will follow.

- ☒ Amend the specification by inserting before the first line thereof the following:
- a. ☒ --This nonprovisional application claims priority under 35 U.S.C. § 119(a) on Patent Application No(s). 2002-198955 filed in JAPAN on July 8, 2002, which is(are) herein incorporated by reference.--
- b. ☐ --This nonprovisional application claims priority under 35 U.S.C. § 119(e) on U.S. Provisional Application No(s). filed on , which is(are) herein incorporated by reference.--
- ☒ Executed Declaration in accordance with 37 C.F.R. § 1.64 will follow
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27
- ☒ Preliminary Amendment
- ☐ Application Data Sheet in accordance with 37 C.F.R. § 1.76
- ☐ Information Disclosure Statement, PTO-1449 and reference(s)
- ☐ Other: \_\_\_\_\_
- ☐ Applicant requests early publication - \$300.00 publication fee
- ☐ Non-publication Request and Certification under 35 U.S.C. § 122(b) (2) (B) (i)

The filing fee has been calculated as shown below:

			LARGE ENTITY	SMALL ENTITY
BASIC FEE			\$750.00	\$375.00
	NUMBER FILED	NUMBER EXTRA	RATE FEE	RATE FEE
TOTAL CLAIMS	41-20=	21	x 18 = \$378.00	x 9= \$0.00
INDEPENDENT CLAIMS	2-3=	0	x 84 = \$0.00	x42= \$0.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+ \$280.00	+ \$140.00
TOTAL			\$1,408.00	\$0.00

- ☒ The application transmitted herewith is filed in accordance with 37 C.F.R. § 1.41(c). The undersigned has been authorized by the inventor(s) to file the present application. The original duly executed declaration together with the surcharge will be forwarded in due course.
- ☒ A check in the amount of \$1,408.00 to cover the filing fee is enclosed.
- ☐ Please charge Deposit Account No. 02-2448 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.
- ☒ Please send correspondence to:  
BIRCH, STEWART, KOLASCH & BIRCH, LLP or Customer No. 002292  
P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By 

Andrew D. Meikle, #32,868

ADM/ka  
3885-0107P

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Attachment(s)

(Rev. 06/18/03)